Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Most Similar Force (MSF) Group: Staffordshire, Derbyshire, Leicestershire, Hertfordshire, Essex, Hampshire, Sussex, Avon And Somerset

About this bulletin

This bulletin presents information about complaints defined under the *Police Reform Act 2002 (PRA 2002)*, as amended by the *Police and Crime Act 2017*. The new legislation came into effect on 1 February 2020 (4 January 2021 for the British Transport Police).

It sets out performance against a number of measures and compares force results to their most similar force (MSF) group and with the overall result for all forces (national).

Data relating to complaints made prior to 1 February 2020 will be reported separately and in line with the previous performance framework

Please note: data about complaints handled outside of Schedule 3 to the *PRA 2002* by Bedfordshire Police, Cambridgeshire Constabulary, Hertfordshire Constabularly and Northumbria Police is not included in this bulletin because of technical issues. This will have an effect on the MSF averages, which include these forces, and national figures. The IOPC is working with these forces to ensure reporting of these complaint cases is possible in the future.

Contents

Section A1:1: complaint cases and allegations logged – timeliness and per 1,000 emplyees

Section A1.2: allegations logged – what has been complained about

Section A1.3: allegations logged – what has been complained about – top five allegation categories and their subcategories

Section A1.4: allegations logged – what has been complained about (category) and the situational context of allegations (factors)

Section A2: how complaint cases and allegations have been handled

Section A3.1: complaints cases finalised - timeliness

Section A3.2: allegations finalised – how they have been handed and timeliness

Section A4.1: allegation decisions – by how they were handled

Section A4.2: allegation decisions – by what was complained about (category)

Section B.1: investigations (all investigation types) – timeliness

Section B.2: investigations (by type of investigation) - timeliness

Section C: referrals

Section D1: reviews received

Section D2: reviews timeliness

Section D3: decisions on reviews

Section E1.1: allegation actions – on complaint cases handled outside of Schedule 3

Section E1.2: allegation actions – on complaint cases handled under Schedule 3

Section E2: focus on RPRP, UPP, misconduct and criminal outcomes (for complaint cases handled under

Schedule 3)

Notes

Acronyms used in this bulletin

S	elect an authority
	Select all
	Avon And Somerset
	Bedfordshire
	British Transport
	Cambridgeshire
	Cheshire
	City of London
	Cleveland
	Cumbria
	Derbyshire
	Devon And Cornwall
	Dorset
	Durham
	Dyfed-Powys
	Essex
	Gloucestershire
	Greater Manchester
	Gwent
	Hampshire
	Hertfordshire
	Humberside
	Kent
	Lancashire
	Leicestershire
	Lincolnshire
	Merseyside
	Metropolitan
	National
	Norfolk
	North Wales
	North Yorkshire
	Northamptonshire
	Northumbria
	Nottinghamshire

Select snapshot date
Q3 FY 2020/21
Q4 FY 2013/14
Q4 FY 2014/15
Q4 FY 2015/16
Q4 FY 2016/17
Q4 FY 2017/18
Q4 FY 2018/19
Q4 FY 2019/20
• Q4 FY 2020/21
Select reporting period
0 2019/20
0 2018/19
0 2017/18
<pre>0 2016/17</pre>
\(\) \(\) \(\) \(\) \(\) \(\) \(\) \(\) \(\) \(\) \(\) \(\) \(\) \(\) \(\) \(\) \
\(\) 2014/15 \(\) 2014/14/4
\(\) 2013/14
2012/13 2014/42
<pre></pre>
2010/112009/10
Select PCA 2017
(Blank)

Select publication data

yes

Exclude

Include

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section A1.1: complaint cases and allegations logged – timeliness and per 1,000 employees

	To contact cu	ustomers	To log compla	int cases
Average number of working days	Initial handling performance	Customer perspective	Initial handling performance	Customer perspective
Force	2	3	5	5
MSF Average	5	6	6	6
National	7	7	6	6

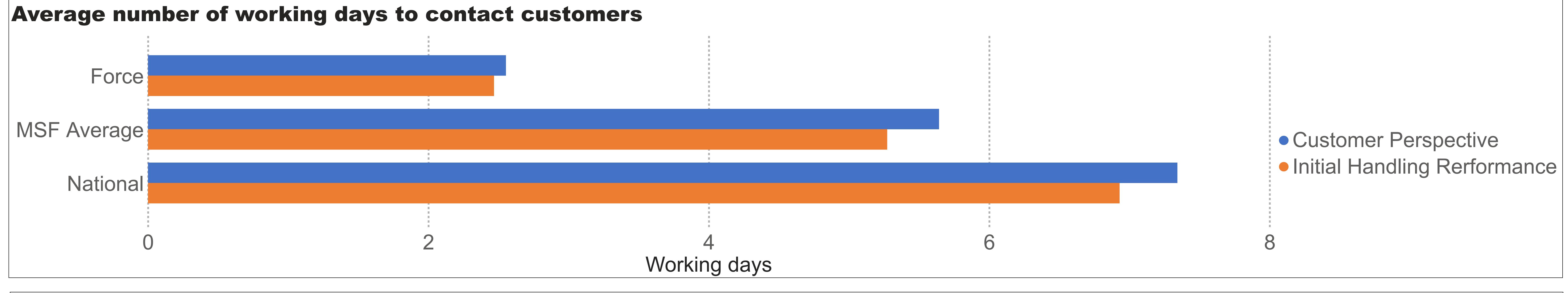
Please note: average times presented in the above table are rounded to the nearest whole number. In comparison, the charts below present the exact result.

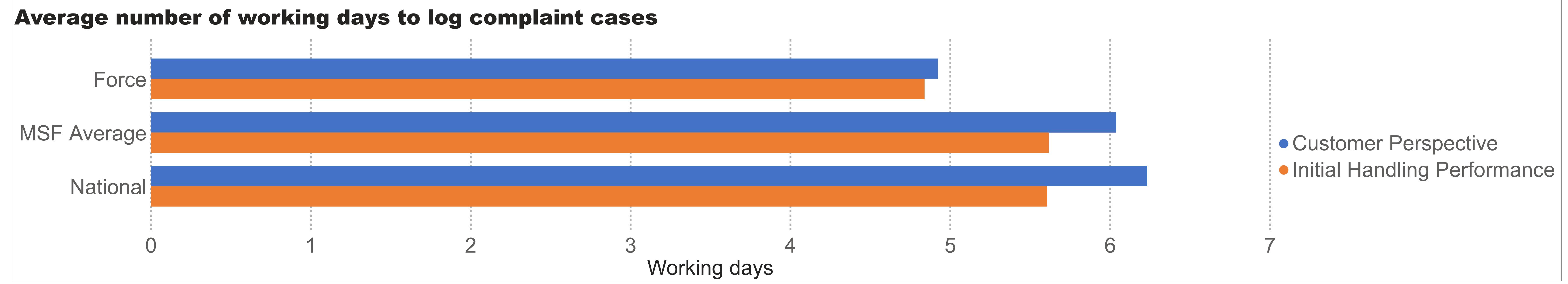
	Force	MSF Average	National
No. of allegations logged per 1,000 employees	671	560	467
No. of complaint cases logged per 1,000 employees	289	322	290

Notes

This section presents information relating to the force's contact with customers and their initial handling of the complaint cases. It shows how long, on average it takes from the customer's perspective from when they made the complaint, and the force's performance of the initial handling from when it received the complaint.

Complaint cases with 'invalid dates' have been removed from timeliness measures. Please refer to the performance framework counting rules and calculations on the <u>IOPC website</u> for explanations of customer perspective, initial handling and invalid dates.





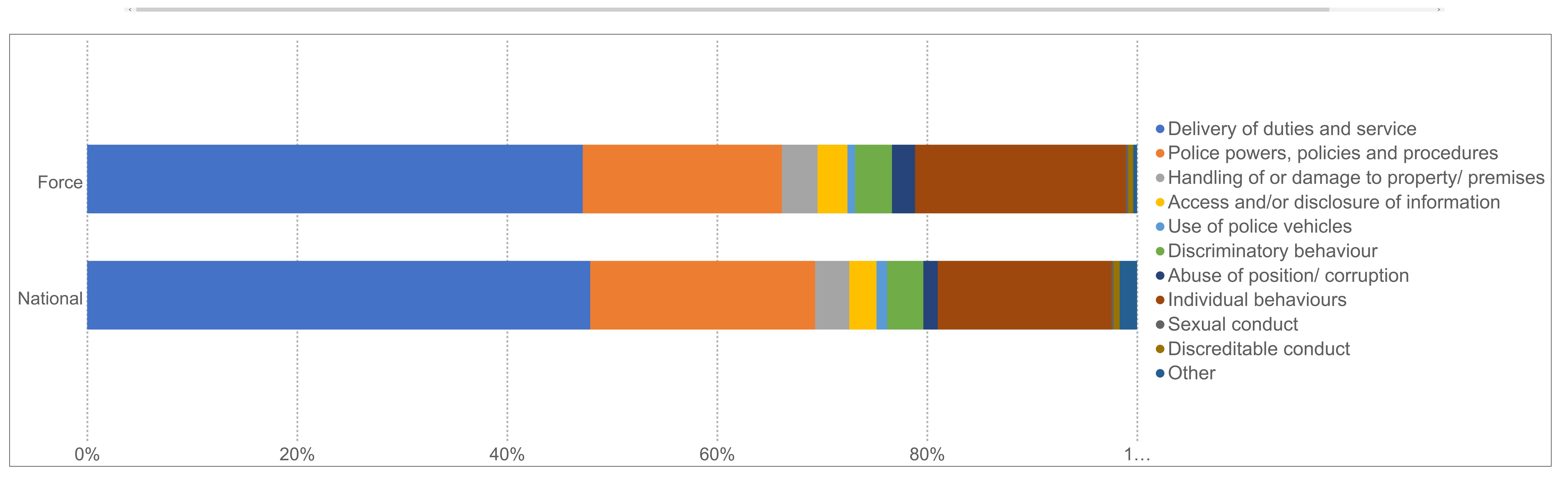
Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section A1.2: allegations logged - what has been complained about

		Delivery of duties and service	Police powers, policies and procedures		Access and/or disclosure of information	police	Discriminatory behaviour	Abuse of position/corruption	Individual behaviours	Sexual conduct	Discreditable conduct	Other	Total
	Force	1,951	784	140	118	30	145	91	830	9	20	15	4,133
Number	MSF Average	1,294	553	80	66	28	94	52	506	7	18	27	2,724
	National	52,300	23,368	3,553	2,845	1,104	3,764	1,490	18,073	199	648	1,807	109,151
	Force	47%	19%	3%	3%	1%	4%	2%	20%	0%	0%	0%	
Percentage	MSF Average	46%	21%	3%	3%	1%	4%	2%	19%	0%	1%	1%	
	National	48%	21%	3%	3%	1%	3%	1%	17%	0%	1%	2%	



Notes

This section presents information that shows what people complain about. Allegation categories capture the root of the dissatisfaction expressed in a complaint. A complaint case will contain one or more allegations and one category is selected for each allegation logged.

Please refer to our Guidance on capturing data about police complaints for guidance on logging allegations and complaint category definitions.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section A1.3: allegations logged – what has been complained about – top five allegation categories and their subcategories

		Ford	ce	MSF Av	erage	Natio	onal
Category	Subcategory	No.	%	No.	%	No.	%
□ Delivery of duties and service	Total	1,951	47 %	1,294	46 %	52,300	48 %
	Police action following contact	1,555	80 %	725	54 %	23,155	44 %
	Decisions	100	5 %	143	12 %	7,524	14 %
	General level of service	106	5 %	269	19 %	16,612	32 %
	Information	190	10 %	157	14 %	5,006	10 %
						3	0 %
Police powers, policies and procedures	Total	784	19 %	553	21 %	23,368	21 %
	Stops, and stop and search	48	6 %	35	6 %	2,275	10 %
	Searches of premises and seizure of property	93	12 %	69	13 %	3,168	14 %
	Power to arrest and detain	110	14 %	85	15 %	3,407	15 %
	Detention in police custody	160	20 %	87	16 %	3,176	14 %
	Bail, identification and interview procedures	52	7 %	22	4 %	702	3 %
	Use of force	225	29 %	148	26 %	6,752	29 %
	Evidential procedures	54	7 %	31	5 %	1,212	5 %
	Out of court disposals	24	3 %	15	3 %	311	1 %
	Other policies and procedures	18	2 %	65	12 %	2,362	10 %
						3	0 %
□ Handling of or damage to property/	Total	140	3 %	80	3 %	3,553	3 %
premises	Handling of or damage to property/ premises	140	100 %	80	100 %	3,541	100 %
						11	0 %
	Delivery of duties and service					1	0 %
□ Discriminatory behaviour	Total	145	4 %	94	4 %	3,764	3 %
	Age	1	1 %	2	3 %	58	2 %
	Disability	7	5 %	12	12 %	487	13 %
	Gender reassignment			3	3 %	35	1 %
	Marriage and civil partnership					4	0 %
	Pregnancy and maternity			1	1 %	5	0 %
	Race	112	77 %	60	61 %	2,375	63 %
	Religion or belief	2	1 %	2	4 %	91	2 %
	Sex	15	10 %	12	12 %	340	9 %
	Sexual orientation	2	1 %	2	3 %	92	2 %
	Other	6	4 %	7	7 %	277	7 %
Individual behaviours	Total	830	20 %	506	19 %	18,073	17 %
	Unprofessional attitude and disrespect	200	24 %	111	21 %	4,687	26 %
	Lack of fairness and impartiality	157	19 %	88	18 %	2,954	16 %
	Overbearing or harassing behaviours	196	24 %	119	25 %	3,419	19 %
	Impolite language / tone	200	24 %	135	25 % 25 %	4,645	26 %
	Impolite and intolerant actions	76	9 %	53	11 %	2,367	13 %
	mipolic and intolciant actions		J /0		11/0	2,307	10/0
	Other neglect or failure in duty	1	0 %	1	0 %	1	0 %

Notes

This section presents the five most commonly recorded categories for allegations that have been logged.

A complaint case will contain one or more allegations and one category (and sub-category, where available) is selected for each allegation logged.

Total % is of the total number of allegations logged.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section A1.4: allegations logged – what has been complained about (category) and the situational context of complaints (factors)

	Allegation category											
Factors	Delivery of duties and service		or damage			behaviour		behaviours			Other	Total
Arrest	70	310	18	2	2	13	4	70	5			494
Call Handling	47			1		1		20				69
Child protection / CSA / CSE	18		1	3				2				24
Coronavirus – other	86	19		2		1		50		1	3	162
Coronavirus – police powers on infectiou	1							1				2
Coronavirus – police powers on restricti	19	2				1	1	6				29
Covert policing				1								1
Custody	17	122	5	4		2	1	11				162
Death	2		1									3
Domestic / gender abuse	21	1				2		11				35
Drugs / alcohol	7	2		1						2		12
Firearms	4	2										6
Fraud	1									1		2
Hate Crime	10	1		1		70	1	7				90
Investigation	903	95	26	41		26	21	216	3	11	1	1,343
Mental health	10	2		1		1		2				16
Missing persons	1											1
Neighbourhood policing	16	4						7				27
None	546	89	52	55	8	15	60	332		6	9	1,172
Police dogs or horses		1			1							2
Premises search	17	60	19	1		1		16				114
Public order incident	8											8
Restraint equipment		5										5
Roads/traffic	110	15	11	2	19	3	3	40			2	205
Serious injury	1											1
Social media	4			3				3				10
Stop and/or search	30	60	6			11		32	1			140
Taser	1							2				3

Notes

This section presents information that shows what people are complaining about using a combination of allegation categories and factors.

Categories capture the root of the dissatisfaction expressed in a complaint. Factors capture the situational context of the dissatisfaction expressed in a complaint. The combination of categories and factors provides a richer picture of what people are complaining about compared to the categories alone.

Each allegation should have a single category selected. However, multiple factors can be selected on a single allegation. Therefore, the sum of factors will not equal the total allegations logged in each category.

Please refer to our <u>Guidance on capturing data about police complaints</u> for definitions of categories and factors.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

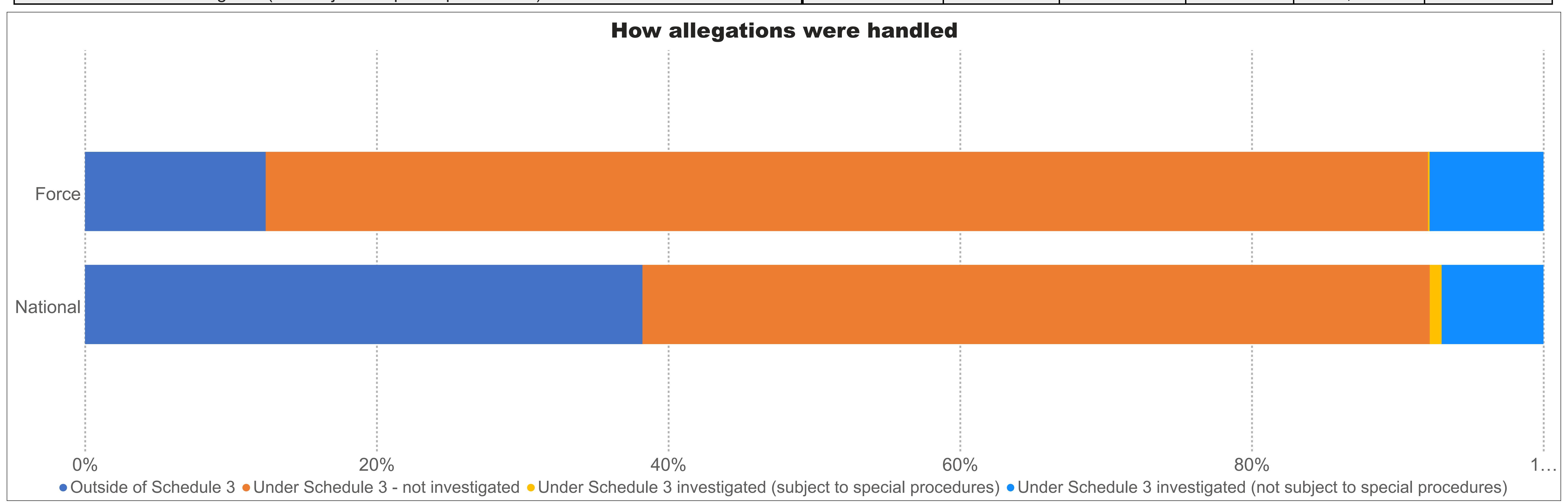
Appropriate Authority: Essex



Section A2: how complaint cases and allegations have been handled

	Force		MSF Average		National	
Reason complaint case handled under Schedule 3	No.	%	No.	%	No.	%
Nature of the allegation(s) in the complaint	7	0%	126	14%	5,811	16%
Dissatisfaction after initial handling	5	0%	58	6%	2,889	8%
Complainant wishes the complaint be recorded	89	6%	156	18%	12,440	34%
AA/body responsible for initial handling decides	1,349	93%	548	62%	15,225	42%

	Ford	e	MSF Av	erage	National		
How allegations were handled	No.	%	No.	%	No.	%	
Outside of Schedule 3	319	12%	790	34%	32,012	38%	
Under Schedule 3 - not investigated	2,052	80%	1,309	63%	45,205	54%	
Under Schedule 3 investigated (subject to special procedures)	3	0%	13	1%	688	1%	
Under Schedule 3 investigated (not subject to special procedures)	201	8%	136	8%	5,845	7%	



Notes

This section presents the number of complaint cases handled under Schedule 3 of the PRA 2002 and the reasons why. A breakdown of how allegations were handled, including whether they were investigated under Schedule 3 or otherwise than by investigation (not investigated) is also given.

Please note that figures presented in the second table may be under-representing the number of allegations finalised under Schedule 3 and investigated (not suject to special procedures), instead grouping some of these allegations as finalised under Schedule 3 and not investigated. This is because police forces were not able to consistently and accurately collect this data on their systems until the implementation of an upgrade from November 2020.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



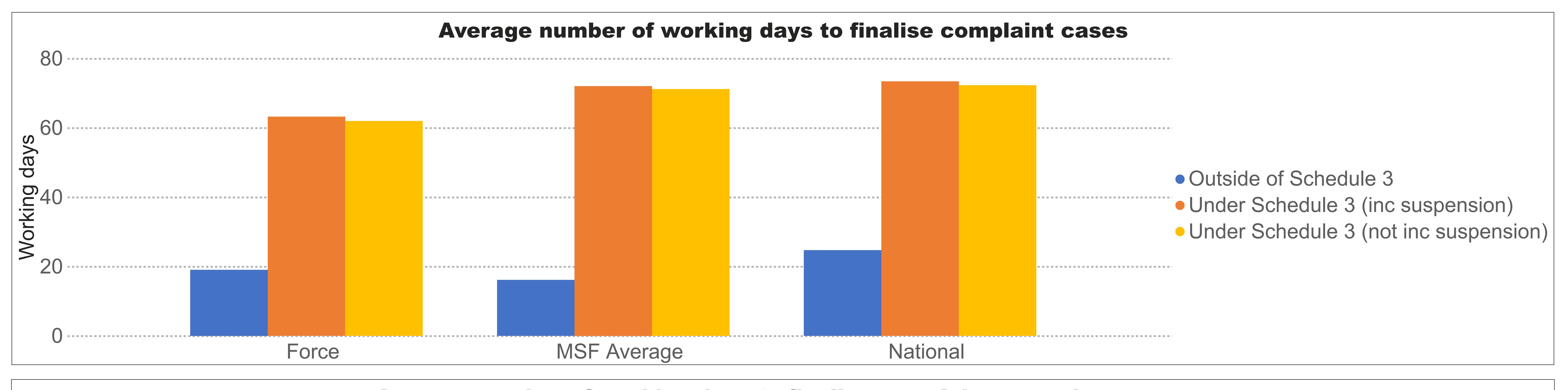
Section A3.1: complaint cases finalised - timeliness

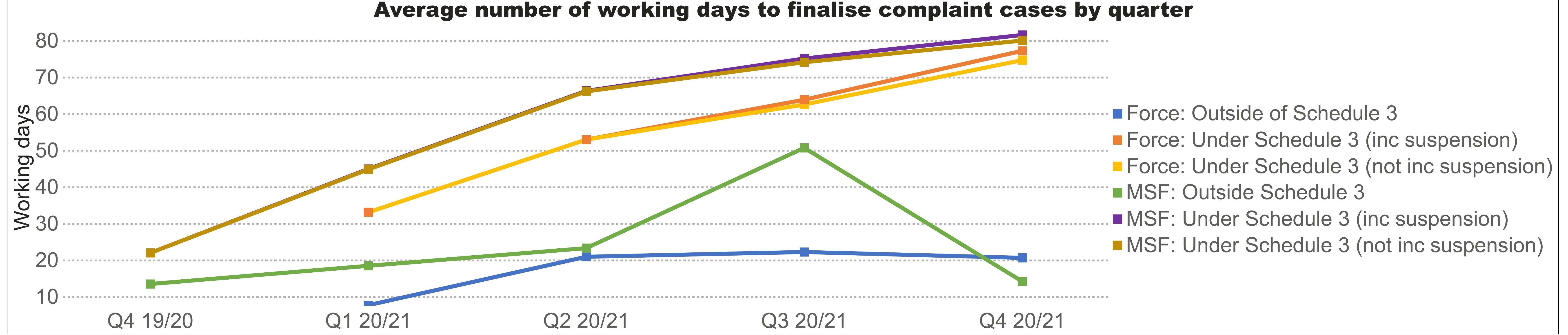
Average number of working days to finalise complaint cases	Force	MSF Average	National
Outside of Schedule 3	19	16	25
Under Schedule 3 (inc suspension)	63	72	73
Under Schedule 3 (not inc suspension)	62	71	72

Notes

This section shows the time it takes the force to finalise complaint cases from the customer's perspective. It gives a breakdown of the time taken to finalise complaint cases handled outside of Schedule 3 and those that are being handled under Schedule 3 from the date the complaint was made.

Complaint cases with 'invalid dates' have been removed from the data shown. Please refer to the performance framework counting rules and calculations on the <u>IOPC website</u> for an explanation of invalid dates.





Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section A3.2: allegations finalised - how they have been handled and timeliness

	Fo	Force		/erage	National		
How allegations were handled	No.	%	No.	%	No.	%	
Outside of Schedule 3	319	12%	790	34%	32,012	38%	
Under Schedule 3 - not subject to investigation	2,052	80%	1,309	63%	45,205	54%	
Under Schedule 3 - by local investigation	204	8%	148	8%	6,496	8%	
Under Schedule 3 - by independent investigation			1	0%	37	0%	

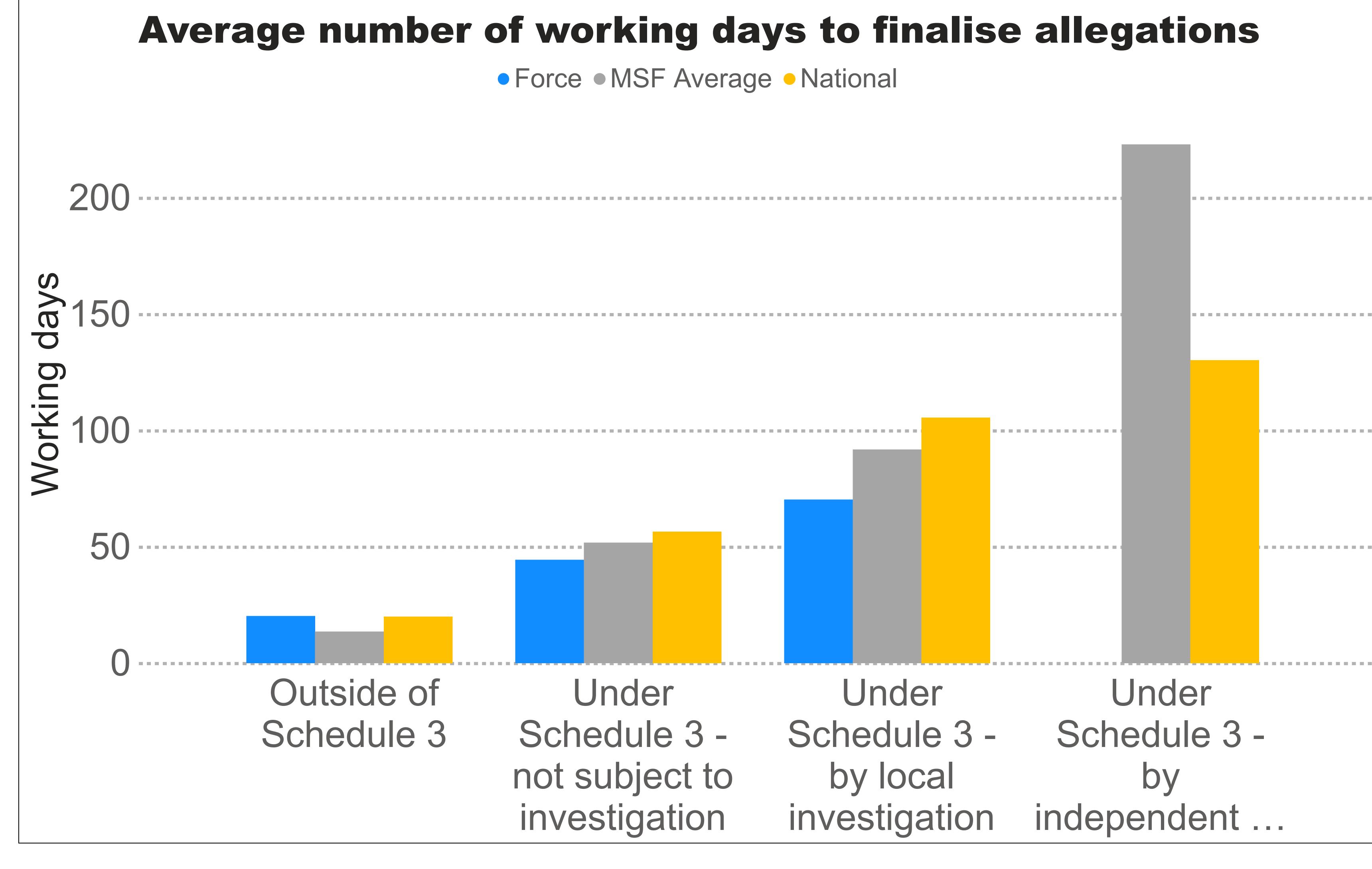
Average number of working days to finalise allegations	Force	MSF Average	National
Outside of Schedule 3	20	14	20
Under Schedule 3 - not subject to investigation	44	52	57
Under Schedule 3 - by local investigation	70	92	106
Under Schedule 3 - by independent investigation		223	130

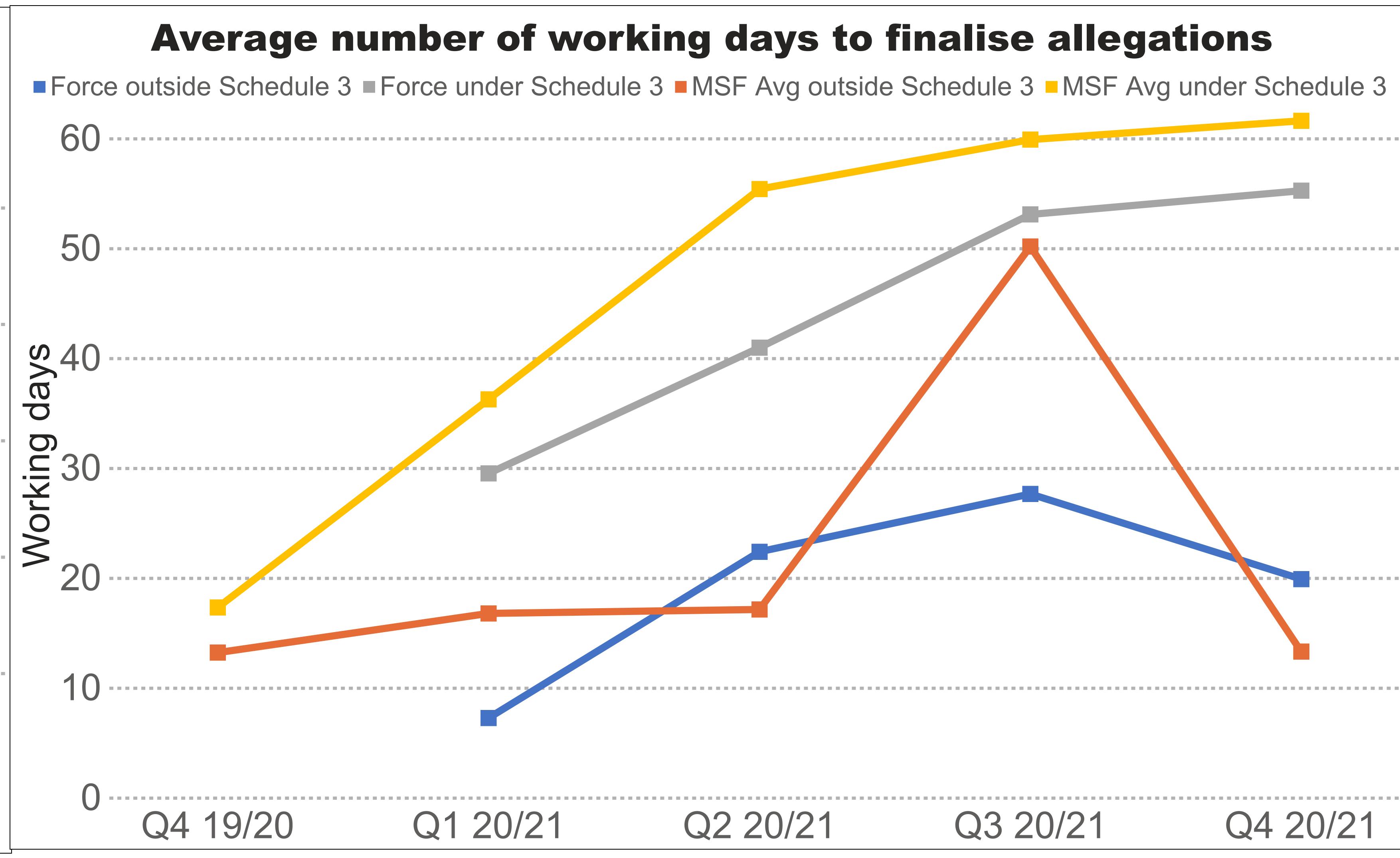
Notes

This section shows the time it takes the force to finalise allegations by how they were handled. It gives a breakdown of allegations handled outside Schedule 3 and those that were handled under Schedule 3, which were either investigated or handled other than by investigation. Timeliness is calculated from the date the allegation was received by the force.

At present, allegations finalised by directed investigations are being included under 'local investigation'. The IOPC is working with force IT suppliers to ensue that reporting on this is possible in the future.

Allegations with 'invalid dates' have been removed from the data shown. Please refer to the performance framework counting rules and calculations on the <u>IOPC website</u> for an explanation of invalid dates.





Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section A4.1: allegation decisions - by how they were handled

How allegations were handled ▲	Force %	Force No.	National %	National No.
Outside of Schedule 3	12 %	319	38 %	32,011
Under Schedule 3 - not investigated	80 %	2,052	54 %	45,201
Under Schedule 3 investigated (subject to special procedures)	0 %	3	1 %	688
Under Schedule 3 investigated (not subject to special procedures)	8 %	201	7 %	5,845
Total	100 %	2,575	100 %	83,745

How allegations were handled	Out	side of	Schedu	ile 3	Un	Under Schedule 3 - not investigated (subject to special procedures)										
Allegation decision	Force			Nat. No.				Nat. No.		Force		Nat. No.				Nat. No.
	%	No.	%		%	No.	%		%	No.	%		%	No.	%	
No further action					5 %	105	14 %	6,252			1 %	10			10 %	572
Regulation 41 applies							0 %	55			0 %	2			0 %	27
Service provided - unable to determine					5 %	103	4 %	1,906			1 %	4	4 %	9	3 %	147
Service provided - not acceptable					18 %	364	11 %	5,153			1 %	10	14 %	28	10 %	561
Service provided - acceptable			0 %	8	62 %	1,275	66 %	29,644			14 %	99	81 %	163	73 %	4,279
Not Resolved	14 %	45	6 %	1,955												
Resolved	86 %	274	94 %	30,048												
No Case to Answer											59 %	403				
Case to Answer									100 %	3	20 %	136				
Withdrawal					10 %	205	5 %	2,191			3 %	24	0 %	1	4 %	259
Total	12 %	319	38 %	32,011	80 %	2,052	54 %	45,201	0 %	3	1 %	688	8 %	201	7 %	5,845

Notes

This section presents information about the decisions being given on allegations. An allegation decision is logged for each allegation finalised.

The allegation decision reflects how the complaint case has been handled, with different decisions available for the different means of handling. Both the allegation decisions and the subsequent actions available will depend on two things: firstly, whether the complaint case has been handled outside or under Schedule 3; and secondly, the means of handling where it has been dealt with under Schedule 3.

Please note that figures presented may be under-representing the number of allegations finalised under Schedule 3 and investigated (not suject to special procedures), instead grouping some of these allegations as finalised under Schedule 3 and not investigated. This is because police forces were not able to consistantly and accurately collect this data on their systems until the implementation of an upgrade from November 2020.

Please refer to our <u>Guidance on capturing data about police complaints</u> for details of allegation decisions.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section A4.2: allegation decisions – by what was complained about (category)

		Allegation category										
Allegation decisions	Delivery of duties and service		or damage	Access and/or disclosure of information	Use of police vehicles	behaviour		behaviours			Other	Total
No further action	44	14	1	4	1	4	14	19		3	1	105
Service provided - unable to determine	40	15	1	1		7		48				112
Service provided - not acceptable	254	41	14	10	3	4	1	63		1	1	392
Service provided - acceptable	604	310	49	35	11	56	10	353	4	1	5	1,438
Not Resolved	22	10	1	3		1	1	6		1		45
Resolved	198	12	19	9	4	1		29			2	274
Case to Answer		1		1				1				3
Withdrawal	120	30	9	5	2	5		33		2		206

Notes

This section presents information about allegations finalised grouped by the allegation decision and the category selected on each allegation. Each allegation has a single category selected.

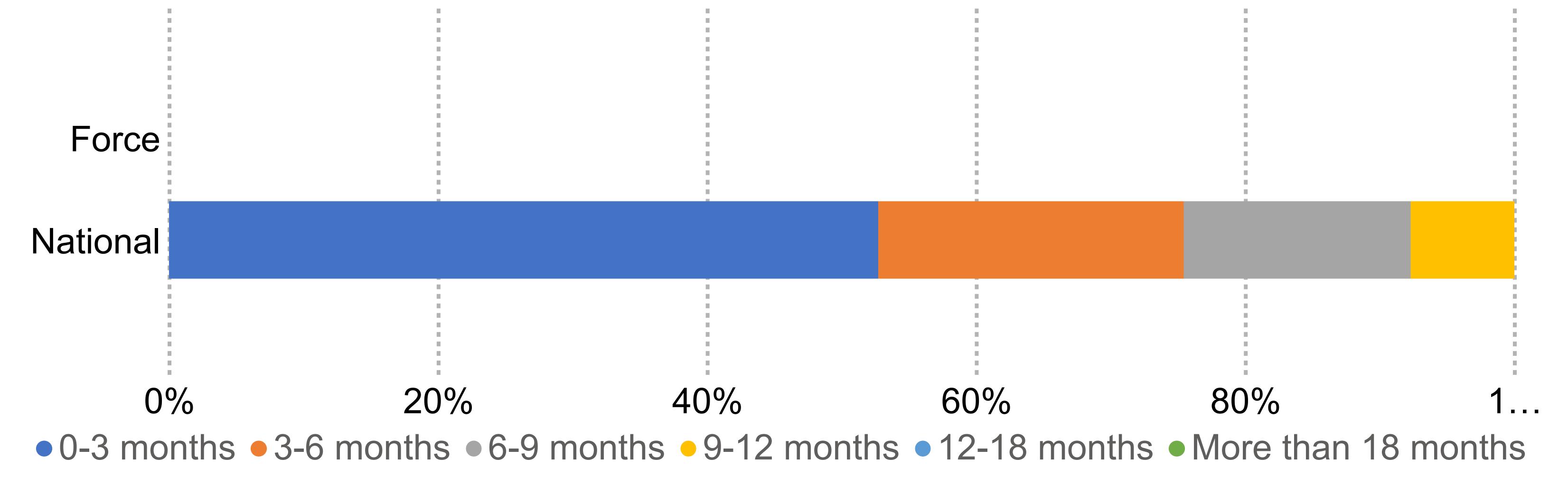
Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex

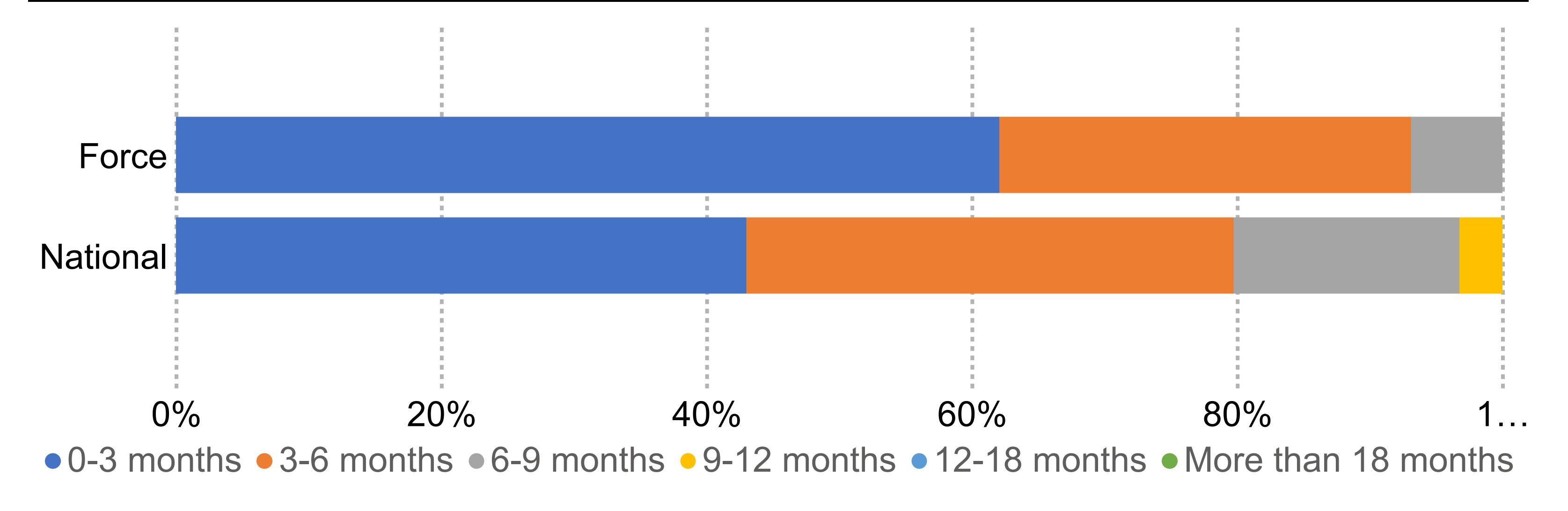


Section B1: investigations (all investigation types) - timeliness

Investigations active for	Force	MSF Average	National
0-3 months		58%	53%
3-6 months		23%	23%
6-9 months		15%	17%
9-12 months		55%	8%
12-18 months			
More than 18 months			



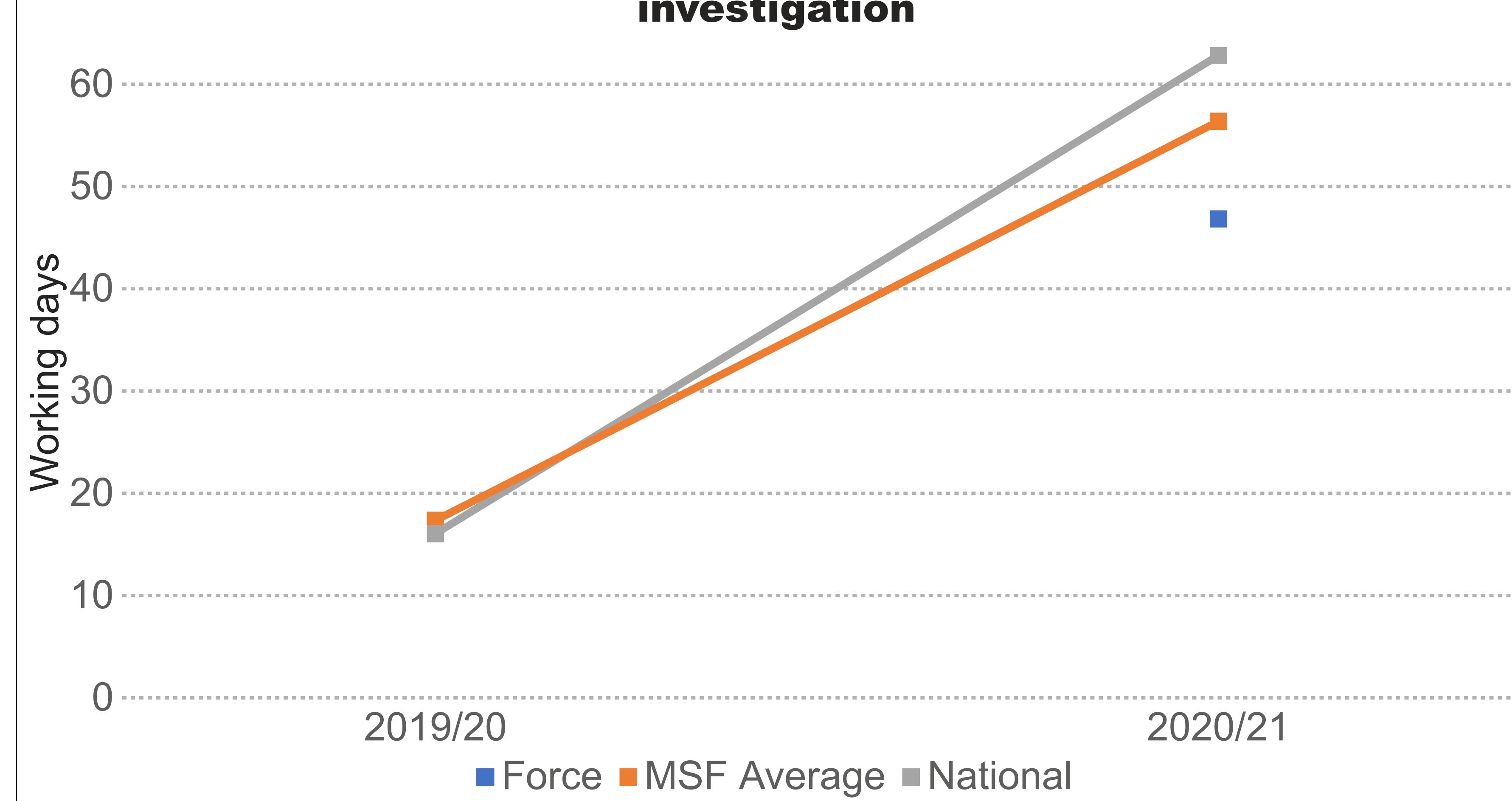
Investigations completed in	Force	MSF Average	National
0-3 months	62%	51%	43%
3-6 months	31%	39%	37%
6-9 months	7%	15%	17%
9-12 months		5%	3%
12-18 months			
More than 18 months			



Allegations finalised by investigation (all types) - timeliness

	Year allegation finalised			
Average number of working days	2019/20 2020/2			
Force		47		
MSF Average	17	56		
National	16	63		





Notes

This section presents information about complaints handled under Schedule 3 that are investigated. It covers complaint cases investigated by both the force and the IOPC.

The data shows how long investigations have been open (active) at the end of the period being reported. Also, the time it takes for allegations that have been investigated to be finalised.

Allegations with 'invalid dates' have been removed from finalised timeliness. Please refer to the performance framework counting rules and calculations on the <u>IOPC website</u> for an explanation of invalid dates.

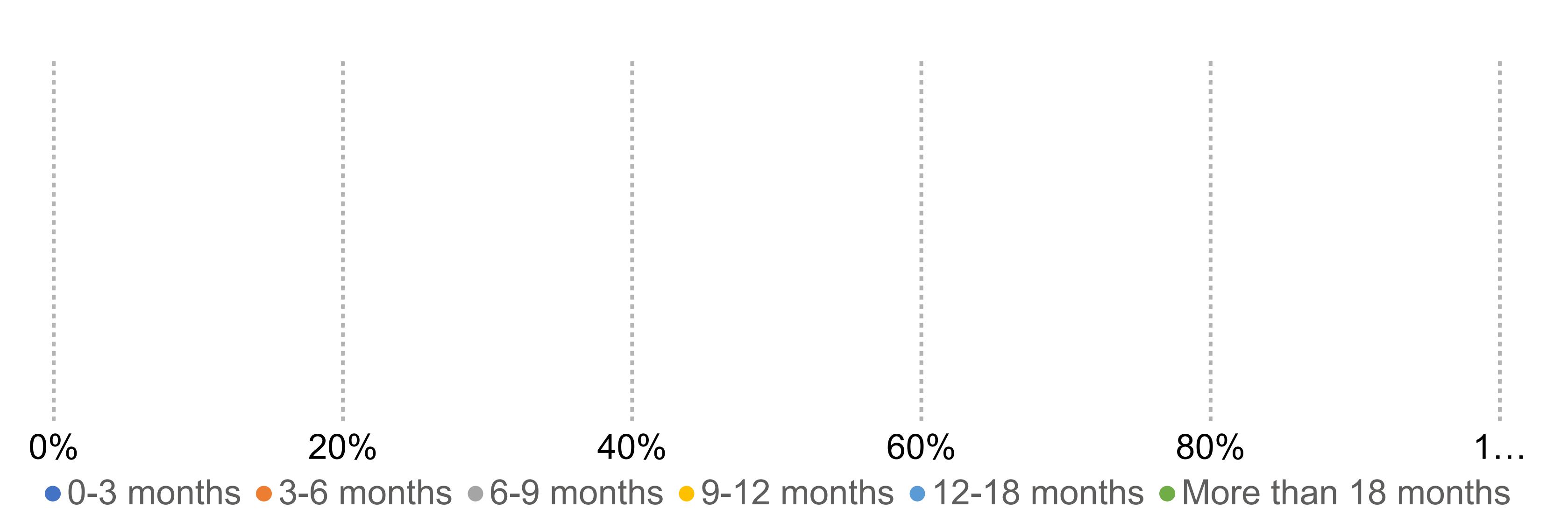
Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex

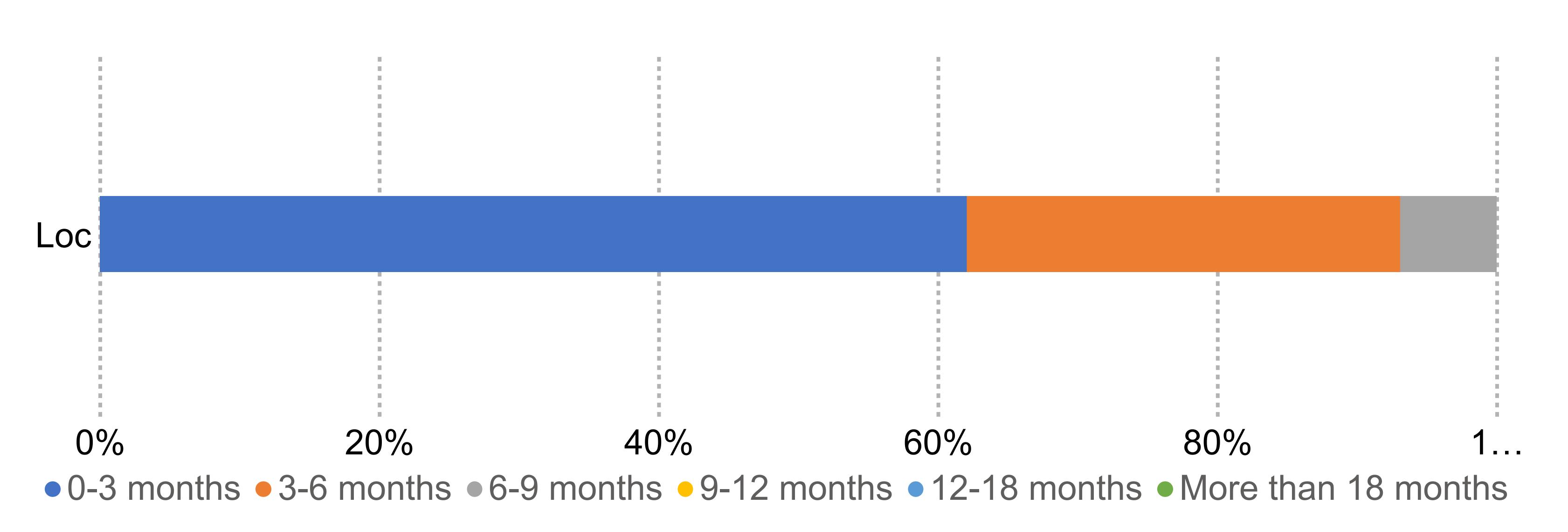


Section B2: investigations (by type of investigation) - timeliness

Investigations active for	Loc	Ind	AII
0-3 months			
3-6 months			
6-9 months			
9-12 months			
12-18 months			
More than 18 months			

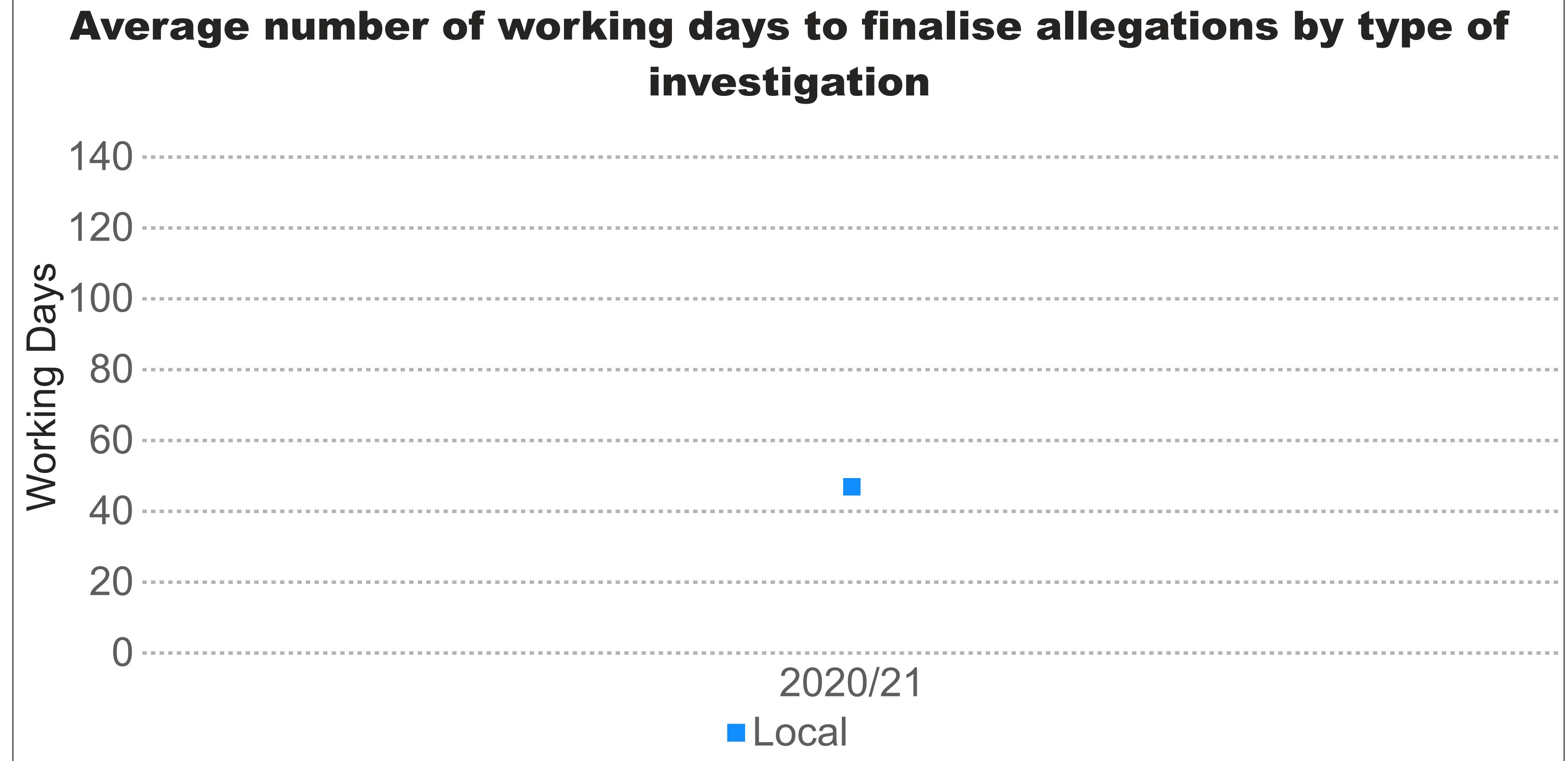


Investigations completed in	Loc	AII
0-3 months	62 %	62 %
3-6 months	31 %	31 %
6-9 months	7 %	7 %
9-12 months		
12-18 months		
More than 18 months		



Allegations finalised by investigation - timeliness

	Year allegation finalised			
Average number of working days	2020/21			
Local	47			
Independent				
AII	47			



Notes

This section presents information about complaint cases handled under Schedule 3 that are investigated, by the type of investigation. It covers complaint cases investigated by both the force and the IOPC.

The data shows how long investigations have been open (active) at the end of the period being reported. Also, the time it takes for allegations that have been investigated to be finalised by the type of investigation. At present, allegations finalised by directed invetigations are being included under 'local investigation'. The IOPC is working with force IT suppliers to ensure that reporting on this is possible in the future.

Allegations with 'invalid dates' have been removed from finalised timeliness. Please refer to the performance framework counting rules and calculations on the <u>IOPC website</u> for an explanation of invalid dates.

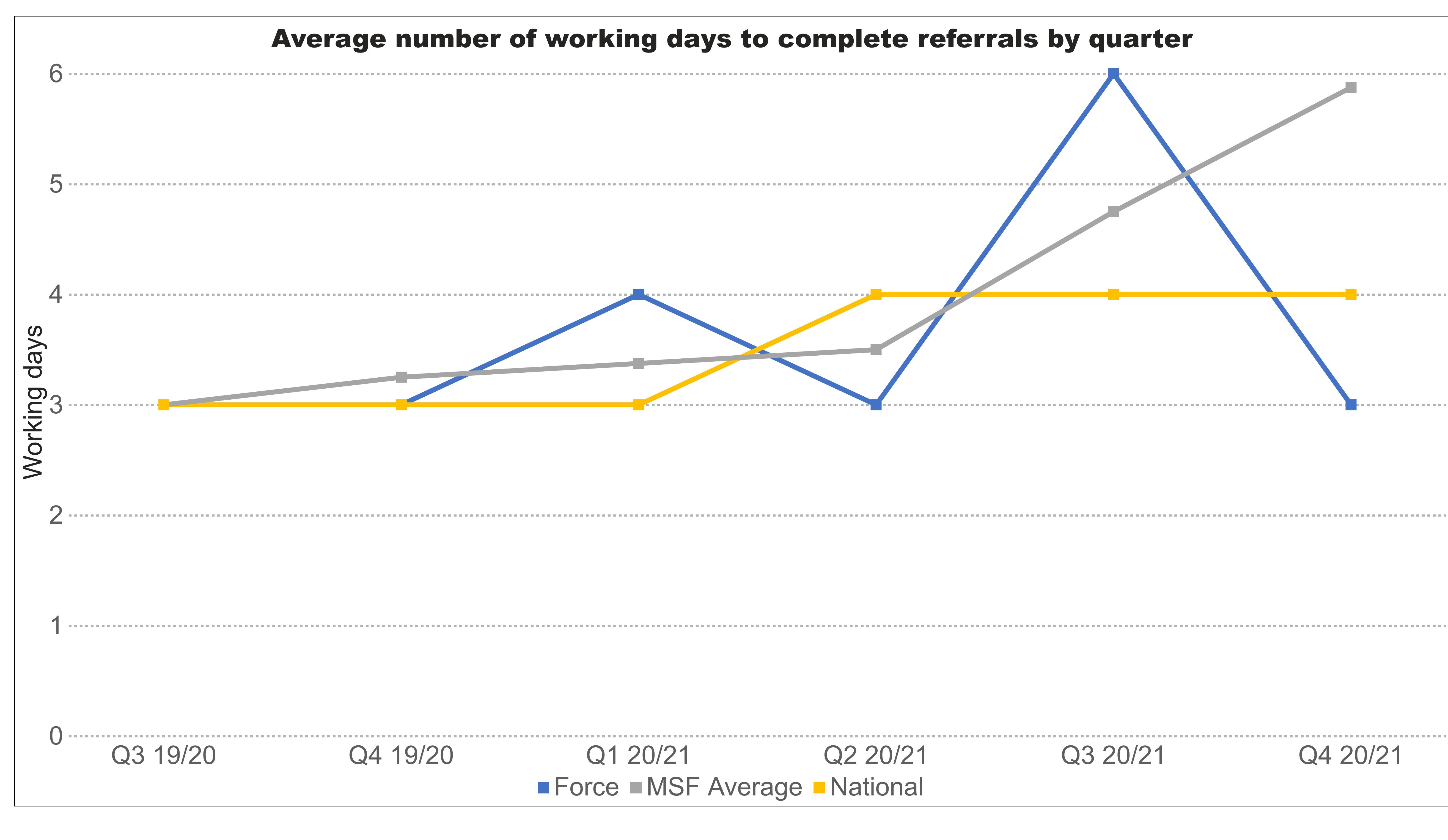
Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section C: referrals

	Force	Force %	MSF Average	MSF Average %	National	National %
Number referrals received	174		90		4,542	
Number referrals completed	176		90		4,546	
Decision: Independent Investigation	20	11%	11	12%	577	13%
Decision: Directed Investigation	1	1%	1	2%	47	1%
Decision: Local Investigation	104	59%	56	62%	2,712	60%
Decision: Return to Force	50	28%	20	23%	1,153	25%
Decision: Invalid	1	1%	0	0%	28	1%



Notes

The figures presented in this section include all referrals that the force makes to the IOPC (received), not just those arising from complaints.

When the IOPC receives a referral from the force, it reviews the information they have provided. The IOPC decides whether the matter requires an investigation, and the type of investigation.

Referrals may have been completed in a different period to when they were received.

Where a referral is made by the force on a mandatory basis but does not meet the mandatory referral criteria, the matter may not fall within the IOPC's remit to assess and will be determined invalid.

The sum of decisions may not match the number of referrals completed. This is because some matters referred may have come to the attention of the appropriate authority before 1 February 2020 and have investigation type decisions of either managed or supervised.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex

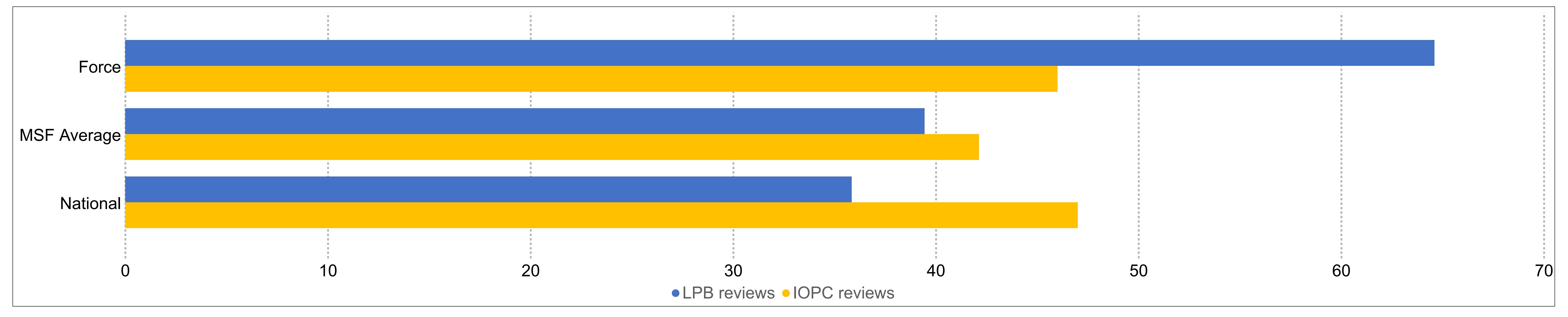


Section D1: reviews received

	Complaint cases finalised under Schedule 3	Reviews received	Reviews received as proportion of complaint cases finalised under Schedule 3		Number LPB reviews received - non-investigation		Number IOPC reviews received - non-investigation
Force	886	144	16%	2	111	29	2
MSF Average	605	131	22%	13	99	11	8
National	23,243	5,371	23%	1,156	3,246	478	491

Section D2: reviews timeliness

	Force	MSF Average	National
Average number of working days to complete Local Policing Body reviews	65	39	36
Average number of working days to complete IOPC reviews	46	42	47



Notes

Where a complaint has been recorded under Schedule 3 to the *Police Reform Act 2002*, the complainant has a right to apply for a review. A person can apply for review if they are unhappy with the way their complaint was handled, or with the outcome. This applies whether the complaint has been investigated by the appropriate authority or handled otherwise than by investigation (non-investigation). The application for a review will be considered either by the local policing body or the IOPC; the relevant review body depends on the circumstances of the complaint.

This section presents information about applications for review handled by both local policing bodies and the IOPC.

Information about the right of review and who is the relevant review body is available in chapter 18 of the IOPC's Statutory Guidance on the police complaints system (February 2020).

Reviews with 'invalid dates' have been removed from reviews timeliness. Please refer to the performance framework counting rules and calculations on the IOPC website for an explanation of invalid dates.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



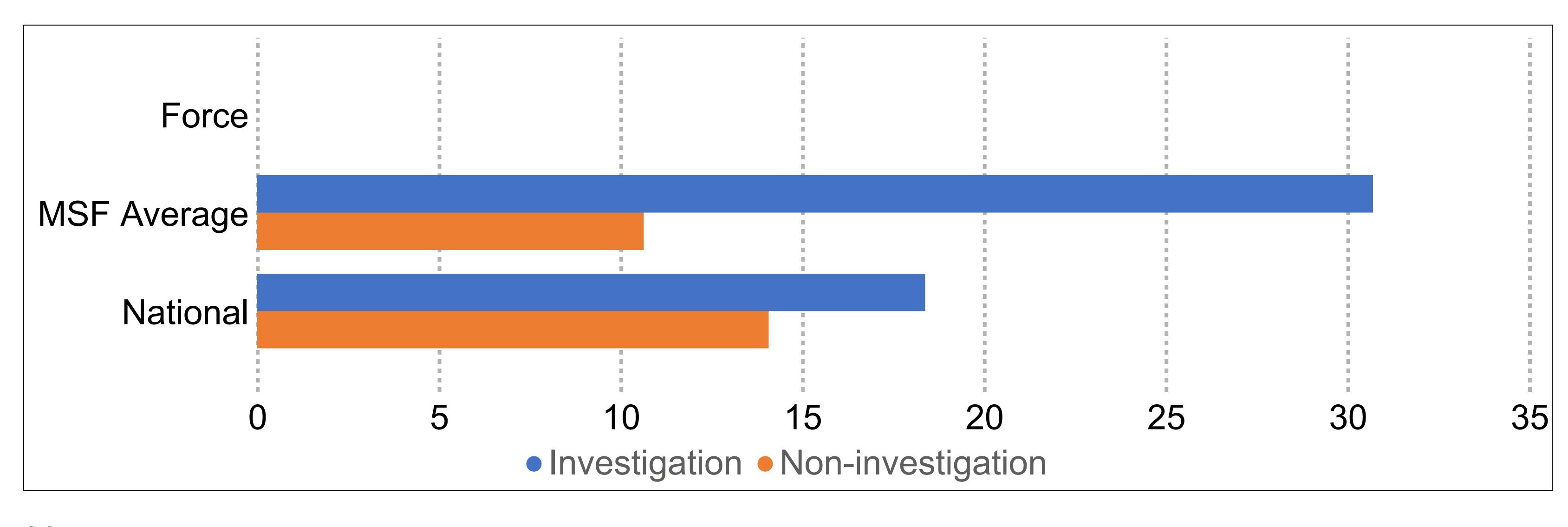
Section D3: decisions on reviews

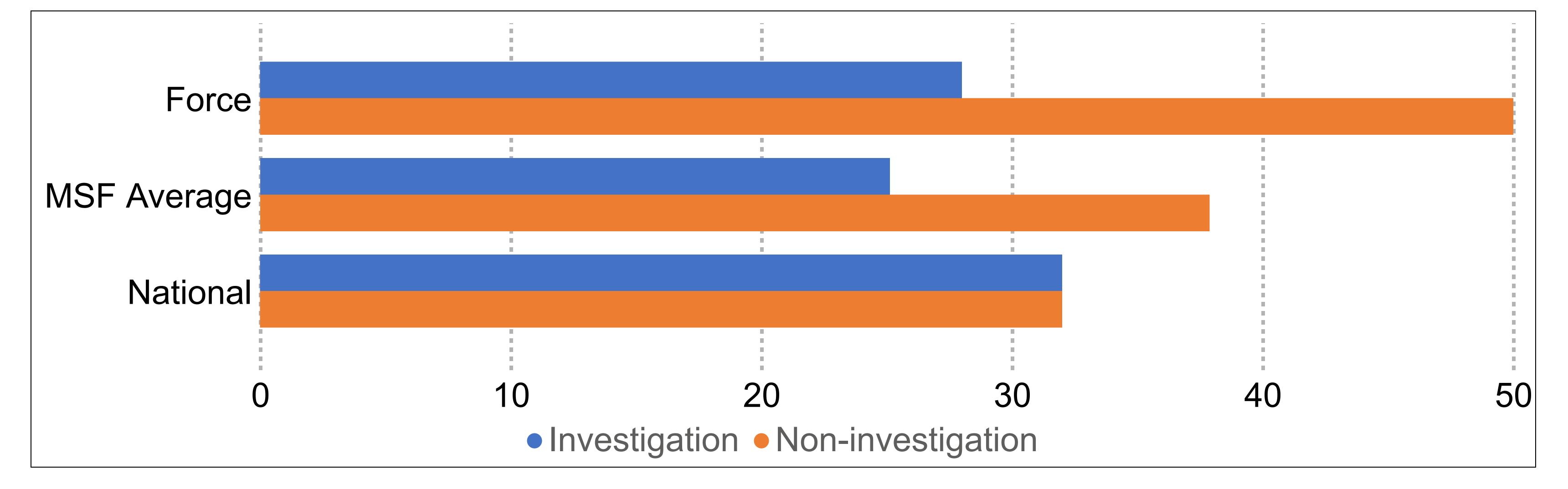
% LPB reviews found complaint case outcome not reasonable and proportionate

	Force	MSF Average	National
Investigation		31	18
Non-investigation		11	14



	Force	MSF Average	National
Investigation	28	25	32
Non-investigation	50	38	32





% LPB reviews resulting in...

	Force	MSF Average	National
Recommendation made			

% IOPC reviews resulting in...

	Force	MSF Average	National
Recommendation made	0	0	0
Direction	14	21	23
Extra work commissioned	0	8	1

Notes

This section presents information about the decisions made on reviews by local policing bodies and the IOPC. It includes the proportion of reviews where the relevant review body found the outcome of the complaint was not reasonable and proportionate and those that resulted in a recommendation and, if necessary, a direction to the appropriate authority in respect of any person serving with the police.

The percentage of reviews the relevant review body determines to be not reasonable and proportionate excludes any reviews that were deemed invalid. Invalid reviews may, for example, include applications that were made outside of the 28 day period to submit an application.

Where the IOPC does not have enough information to decide on a review of a police force investigation, they are able to request that the force undertakes further work to gather additional evidential material. This is known as commissioning further work. The proportion of reviews completed where additional work was commissioned are shown in this section.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section E1.1: allegation actions - on complaint cases handled outside of Schedule 3

	Force		MSF Average		National	
Actions following outside of Schedule 3 complaint cases	No.	%	No.	%	No.	%
Learning from Reflection			5	1%	205	1%
No further action	77	29%	161	23%	8793	31%
Explanation	18	7%	264	41%	11617	41%
Debrief	1	0%	7	2%	470	2%
Apology	3	1%	27	5%	2000	7%
Goodwill gesture			23	4%	144	1%
Policy review			3	0%	54	0%
Individual learning			8	3%	722	3%
Organisational learning			2	0%	235	1%

Notes

This section presents information about what happened as a result of the allegation (action). Actions are captured at allegation level and multiple actions can be selected, where appropriate, on single allegation. The figures shown in this section are based on allegations on finalised complaint cases that resulted in the corresponding action. As more than one action can be selected for a single allegation, the sum of all percentages will not equal 100%.

The actions available once an allegation is finalised depend on how the complaint case has been handled. Please refer to our Guidance on capturing data about police complaints for details of actions available.

Please note: not all of the available actions arising from the complaint handling are shown. The actions presented in this section are those that focus on putting an issue right and preventing it from happening again by encouraging those involved to reflect on their actions and learn.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section E1.2: allegation actions - on complaint cases handled under Schedule 3

	Force		MSF A	/erage	National	
Actions following Schedule 3 complaint cases	No.	%	No.	%	No.	%
Organisational learning	11	1%	13	2%	456	2%
Individual learning	64	7%	39	7%	1218	5%
Policy review			2	0%	48	0%
Goodwill gesture			2	0%	21	0%
Apology	25	3%	21	3%	890	4%
Debrief	6	1%	3	0%	183	1%
Explanation	2	0%	54	11%	5602	24%
Misconduct proceedings			2	0%	18	0%
Unsatisfactory Performance Procedure (UPP)	2	0%	2	0%	6	0%
No further action	639	72%	415	69%	13279	57%
Other action	20	2%	10	2%	341	1%
Other actions following a case to answer decision					7	0%
Referral to RPRP	40	5%	15	3%	815	4%

Notes

This section presents information about what happened as a result of the allegation (action). Actions are captured at allegation level and multiple actions can be selected, where appropriate, on single allegation. The figures shown in this section are based on allegations on finalised complaint cases that resulted in the corresponding action. As more than one action can be selected for a single allegation, the sum of all percentages will not equal 100%.

The actions available once an allegation is finalised depend on how the complaint case has been handled. Please refer to our Guidance on capturing data about police complaints for details of actions available.

Please note: not all of the available actions arising from the complaint handling are shown. The actions presented in this section are those that focus on putting an issue right and preventing it from happening again by encouraging those involved to reflect on their actions and learn.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Section E2: focus on RPRP, UPP, misconduct and criminal outcomes (for complaint cases handled under Schedule 3)

RPRP and learning

Number of complaint cases resulting in below actions	2019/20	2020/21
Learning		
Force		75
National	10	1661
□ RPRP		
Force		40
National	6	815

Percentage of complaint cases resulting in below actions	2019/20	2020/21
Learning		
Force		8%
National	3%	7%
□ RPRP		
Force		5%
National	2%	4%

Percentage of complaint cases RPRP	resulting in learning or
8 %	
6 %	
4 %	
2 %	
0 %	2020/21
■Learning - Force ■Learning - National ■RPI	RP - Force RPRP - National

RPRP, UPP, misconduct and criminal

	For	ce	MSF A	verage	National	
Percentage of complaint cases resulting in below actions	No.	%	No.	%	No.	%
UPP	2	0%	2	0%	6	0%
Misconduct hearing			1	0%	3	0%
Misconduct meeting			1	0%	15	0%
RPRP	40	5%	15	3%	815	4%

Notes

This section focusses on what happened as a result of the complaint case (action) in relation to RPRP, UPP, and a case to answer for misconduct.

Actions are captured at allegation level and multiple actions can be selected, where appropriate, on a single allegation. The figures shown in this section are based on allegations on finalised complaint cases that resulted in the corresponding action. As more than one action can be selected for a single allegation, the sum of all percentages will not equal 100%. More than one UPP, RPRP, misconduct meeting and misconduct hearing can result from a single allegation, therefore the numbers shown do not represent the number of these processes which occurred in the period.

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Notes

Data sources

- Complaint cases data is taken from XML data submissions made by forces to the IOPC every quarter.
- IOPC performance data is taken from the IOPC case management system.
- Police force employee numbers are taken from the latest police workforce England and Wales statistics published by the Home Office.
- The most similar force (MSF) groups used for the calculation of the MSF averages in this bulletin are those determined by the Home Office. Please note that the British Transport Police and City of London Police do not have an MSF group. A list of the MSF groups is available on the IOPC website.

Performance Framework counting rules and calculation

- The counting rules and calculations used to produce the data shown in this bulletin can be found on the IOPC website.
- Average times are presented in working days and do not include weekends or bank holidays.
- Some percentages may add up to more or less than 100% due to rounding.
- Complaint cases and allegations with invalid start/end dates have been removed from average time calculations. Therefore, the numbers of complaint cases and allegations used in the average time calculations may be lower than the total number of complaint cases and allegations logged or finalised.

Data constraints

- The data is sourced from live case management systems and provides a snapshot of information as it was at that time. Therefore, there may be variances between the information in this bulletin and information reported at a later date.

Further Information

- A glossary providing a full list of definitions used in this bulletin, can be found on the IOPC website.
- Additional information about the recording of police complaints, including the definitions of the complaint categories, can be found in appendix A of the IOPC's Guidance on capturing data about police complaints.
- Information about how the police complaints system operates, who can complain and how reviews are dealt with can be found in the IOPC's Statutory Guidance on the police complaints system (February 2020).

Reporting Period: 01 April 2020 - 31 March 2021 (Q4 2020/21)

Appropriate Authority: Essex



Acronyms used in this bulletin

Force – year to date force numbers

IOPC – Independent Office for Police Conduct

Inc. – including

Ind – independent investigation

Loc – local investigation

LPB – local policing body

MSF – most similar force

Nat. – National

No. – number

PRA – the Police Reform Act 2002

RPRP – reflective practice review process

UPP – unsatisfactory performance procedure